

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

SRIKANTH KARIMISETTY et al.

Application No.: Unassigned

Filed: Herewith

For: METHOD AND SYSTEM FOR  
COMMITTING A TRANSACTION TO A  
DATABASE

Examiner: Unassigned

Art Unit: Unassigned

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §1.97 and §1.98

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the U.S. patents and U.S. publications are not enclosed and copies of the publications are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



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WLS/ka  
60096501 v1

Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>			<b>Complete if Known</b>		
			Application Number	Unassigned	
			Filing Date	Herewith	
			First Named Inventor	Karimisetty, Srikanth	
			Art Unit	Unassigned	
Examiner Name	Unassigned				
Sheet	1	of	2	Attorney Docket Number	021756-005100US

U.S. PATENT DOCUMENTS+					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code <sup>2</sup> (if known)			
	AA	US-6,456,955 B1	09-24-2002	Andrews et al.	
	AB	US-6,584,459 B1	06-24-2003	Chang et al.	
	AC	US-2002-0023083 A1	02-21-2002	Durkalski	
	AD	US-2002-0107652 A1	08-08-2002	Andrews et al.	
	AE	US-2002-0143726 A1	10-03-2002	Planalp et al.	
	AF	US-2003-0069795 A1	04-10-2003	Boyd et al.	
	AG	US-2003-0069894 A1	04-10-2003	Cotter et al.	
	AH	US-2003-0088776 A1	05-08-2003	Lin et al.	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite. No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Kind Codes of U.S. Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

60096501 v1

Substitute for form 1449B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	Unassigned
				Filing Date	Herewith
				First Named Inventor	Karimisetty, Srikanth
				Art Unit	Unassigned
				Examiner Name	Unassigned
Sheet	2	of	2	Attorney Docket Number	021756-005100US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	AI	"FDA 21 CFR Part 11," Document Resources, <a href="http://www.documentresources.com/document/21cfrpart11.html">http://www.documentresources.com/document/21cfrpart11.html</a> , pp. 1-2, printed June 23, 2003.	
	AJ	"The Role of Technology Solutions in the Pharmaceutical and Biotechnology Industries' Search for 21 CFR Part 11 Compliance," Life Science CIO Forum, <a href="http://www.lifesciencesCIOforum.com">www.lifesciencesCIOforum.com</a> , pp. 1-7 (2002).	
	AK	"21CFR Part 11 Opportunity or Challenge? With an Analysis of Oracle's OPM," Life Science CIO Forum, <a href="http://www.lifesciencesCIOforum.com">www.lifesciencesCIOforum.com</a> , pp. 1-14 (2002).	

Examiner Signature		Date Considered	
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